Liquid Capital Statement

for the month of 30-NOV-20

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 11-DEC-2020 16:26:49

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	410,522	410,522	
1.2	Intangible Assets	1,690,000	1,690,000	
1.3	Investment in Govt. Securities	1,000,000	1,000,000	
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	E0 E00 C04	12 006 522	45 514 070
	respective securities whichever is higher.	58,520,601	13,006,522	45,514,079
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	4,795,000	4,795,000	
	or any other entity.	1,1 00,000	.,. 00,000	
1.9	Margin deposits with exchange and clearing house.	2,266,625	0	2,266,625
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
1.10	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	14,477,747	14,477,747	
1.16	Receivables from clearing house or securities exchange(s)	, ,	, ,	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	0	0	
	ii. Net amount after deducting haircut	0	O	
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	2,732,086	0	2,732,086
	iv. Balance sheet value	2,7 02,000		
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	285,212	273,468	273,468
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	8,258,952	0	
	ii. Bank balance-customer accounts iii. Cash in hand	2,142,834		
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Lebilities Leb	1.19	Total Assets	95,579,579	34,653,259	61,188,044
Payable to exchange and clearing house Payable to customes	2				
Expected assignant becompared market products 2,142,894 0 2,142,894 0 2,142,894 0 2,142,894 0 2,142,894 0 2,142,894 0 2,142,894 0 2,142,894 0 2,142,894 1 2,042,894 0 15,945,984 0	2.1	Trade Payables			
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V. Current portion of fong term liabilities VID Provided Liabilities VID Provided To the disease of the Control of the VID Provided To the VID Provided			15,545,584	0	15,545,584
vi. Provision for based debts vii. Provision for taxation vii. Other liabilities as per accounting principles and included in the financial statements vii. Other liabilities as per accounting principles and included in the financial statements l. Long. Term financing obtained from financial institution Long term portion of financing obtained from b. Other long, Term financing obtained and apared finance lease l. Other long, Term financing obtained from financial institution Long term portion of financing obtained from l. Staff referement benefits iii. Advence against shares for increase in Capital of Securities broker: 100% narrow large and the respect of advance against shares for increase in Capital of Securities broker: 100% narrow large and the respect of advance against shares in capital d. Relevant Regulatory approved however on total indicate and included in the state of the capital and increase and included in the state of the increase in paginal dependence in capital d. Relevant Regulatory approved however on total indicate in the increase in capital d. Relevant Regulatory approved however on the capital d. Relevant Regulatory approved however on the capital d. Relevant Regulatory approved however on total indicate in the increase in capital d. Relevant Regulatory approved however on the increase in capital d. Relevant Regulatory approved however on the capital v. Other Indibities as para accounting principles and included in the financial statements Subcidinated Loans Subcidinated Loans Subcidinated Loans Subcidinated Loans Subcidinated to excert the state of the increase of capital in the Schedule in provider hard 100% however and included in the financial statement in the subcidinated in the financial statement must be submitted to exchange it. Subcidinated Loans Subcidinated Loans Subcidinated Loans which do not fulfill the conditions specified by SCP 25 Total Llabilities Relating to: 17,901.068 3 Ranking Liabilities Relating to: 18 Amount Provided Long to the subcidinate of the subcidin					
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iii Advance appainst shares for increase in Capital of Securities troker: 100% halicut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directos of the company has approved the increase in capital c. Relevant Regulatory approvas have been obtained d. These is no unreasonable dealy in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. J. Other Inabilities to paid the property of the company has approved the increase in capital b. Completing to the increase in paid up capital have been completed. J. Other Inabilities and such advance to against the increase of capital. J. Other Inabilities and such advance to against the increase of capital. J. Other Inabilities and such advance to against the increase of capital. J. Other Inabilities and the completion of the completion of the completion of such advance and included in the financial statements. 2.4 Subordinated Loans 1.100% of Subordinated loans which fulfill the conditions pecified by SECP at allowed to be deducted. The Schedule III provides that 100% halicut will be allowed against subordinated loans which fulfill the conditions are specified. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting petiod b. No haircut will be allowed against short temportion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities Relating to: 3.7 Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. 3.2 Concentration in securities lending					
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3.6 Amount Payable under REPO					
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Liquid Capital Statement

for the month of 30-NOV-20

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 11-DEC-2020 16:26:49

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of	0	753,763	753,763
3.9	the value of such security Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	589,319	589,319	589,319
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met	7,126,393	0	
3.10	Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	7,715,712		1,343,082
3.12	Liquid Capital	69,962,799	33,310,177	41,943,894